

## ABSTRACT

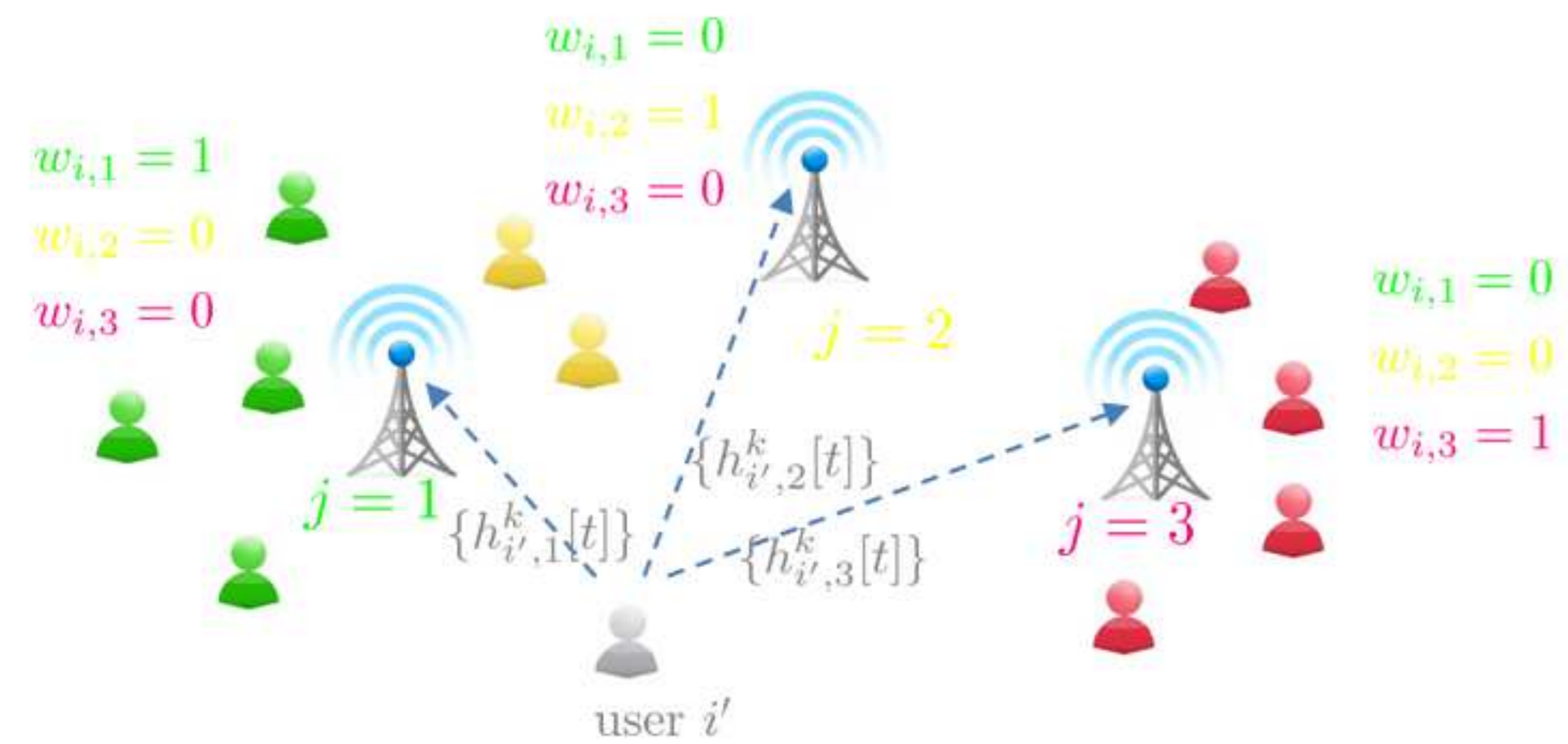
Association of users to base stations (BSs) is a classical problem. Next-generation nets require high number of BSs and quick adaptation of resources. The resources include binary variables (user-channel allocation and user-BS assignment) that render the design challenging [1, 2].

This work proposes algorithms for **user-BS allocation in cellular networks** where users access orthogonally and close-by BSs use non-interfering channels [3]. The **user-BS allocation algorithms are designed jointly** with the power, rate, and user-channel allocation.

**Three different algorithms** are designed, each of them updates (adapts) the **user-BS allocation at a different speed**. Linear relaxation of all the binary variables is not optimal, but a **Benders' decomposition** approach can be used to find the optimal solution.

## SYSTEM MODEL

- $J$  BSs,  $I$  users,  $K$  channels,  $T$  time slots per horizon (indexed by  $j, i, k$  and  $t$ );  $S[t]$  state
- PHY resources: power  $p_{i,j}^k[t]$  and rate  $r_{i,j}^k[t] = C_{i,j}(p_{i,j}^k[t], S[t])$  – REAL
- Link resources: BS-user  $w_{i,j}[t]$  and channel-user  $w_{i,j}^k[t]$  – BINARY
- **User-BS association**: fast  $w_{i,j}[t]$  vs. slow  $w_{i,j}^k[t]$



- $\varphi_{i,j}^k[t] := \rho_{i,j}[t]C_{i,j}(h_{i,j}^k[t], p_{i,j}^k[t]) - \pi_{i,j}[t]p_{i,j}^k[t]$  **link quality indicator, rate and power prices**
- Objective:  $\sum_{t=1}^T \sum_{j,i} w_{i,j}[t] \sum_k w_{i,j}^k[t] \varphi_{i,j}^k[t]$
- Constraints:  $\sum_i w_{i,j}^k[t] \leq 1, \sum_j w_{i,j}[t] \leq 1$
- **Strong interf** and  $\mathcal{K}_j$  given, but:  $\mathcal{K}_j$  can depend on location,  $w_{i,j}^k[t] + w_{i',j'}^k[t] \leq 1$  if conflict, ...

## PRICES

Variation with  $t \Rightarrow$  important for quick-changing Nets  
Variation with  $i, j \Rightarrow$  important for heterogeneous Nets

- fixed or real-time prices set by operators
- multipliers for rate/power constraints
- marginal prices utility/cost functions (fairness)  
 $\rho_{i,j}[t] = U(\bar{r}_i[t])$
- state variables (congestion, battery levels)  
 $\pi_{i,j}[t] = \mu B_j^{\max} - \mu B_j[t]$

## I. REAL-TIME ASSOCIATION

Real-time **user-BS** association, no handover cost

$$\max_{\mathcal{X}} \sum_{t=1}^T \sum_{i,j,k} w_{i,j}^k[t] \varphi_{i,j}^k[t] \quad (1a)$$

$$\text{s. to: } \sum_i w_{i,j}^k[t] \leq 1, \sum_j w_{i,j}[t] \leq 1 \quad (1b)$$

$$w_{i,j}^k[t] = 0 \text{ if } k \notin \mathcal{K}_j, w_{i,j}^k[t] \leq w_{i,j}[t]$$

$$p_{i,j}^k[t] \in [0, p_{i,j}^{\max}], w_{i,j}^k[t] \in \{0, 1\}, w_{i,j}[t] \in \{0, 1\}$$

Separable across  $t$ , but linear relaxation not tight

## SOLVING I

Approach: group the variables into 3 sets and show

$$\begin{aligned} \max_{\mathcal{X}_1, \mathcal{X}_2, \mathcal{X}_3} \tilde{f}_0(\mathcal{X}_1, \mathcal{X}_2, \mathcal{X}_3) &= \max_{\mathcal{X}_2, \mathcal{X}_3} \tilde{f}_0(\mathcal{X}_1^*(\mathcal{X}_2, \mathcal{X}_3), \mathcal{X}_2, \mathcal{X}_3) \\ &= \max_{\mathcal{X}_2, \mathcal{X}_3} \tilde{f}_0(\mathcal{X}_1^*, \mathcal{X}_2, \mathcal{X}_3) = \max_{\mathcal{X}_3} \tilde{f}_0(\mathcal{X}_1^*, \mathcal{X}_2^*(\mathcal{X}_1^*, \mathcal{X}_3), \mathcal{X}_3) \end{aligned}$$

**Power allocation\***:  $\mathcal{X}_1$ .  $\{p_{i,j}^{k*}[t]\}$  do not depend on  $\{w_{i,j}^{k*}[t], w_{i,j}^*[t]\}$  and can be found as

$$p_{i,j}^{k*}[t] = \arg \max_{0 \leq p \leq p_{i,j}^{\max}} \rho_{i,j}[t]C_{i,j}(h_{i,j}^k[t], p) - \pi_{i,j}[t]p$$

**Channel-user assignment**:  $\mathcal{X}_2$ . If  $w_{i,j}[t] \in \{0, 1\}$ ,  $p_{i,j}^k[t] = p_{i,j}^{k*}[t]$  given, then  $w_{i,j}^k[t]$  are found as:

- Define set of winner users  
 $\mathcal{I}_j^k[t] := \{i : w_{i,j}[t] = 1 \ \& \ \varphi_{i,j}^k[t] = \max_i \varphi_{i,j}^k[t] w_{i,j}[t]\}$
- Select one user from  $\mathcal{I}_j^k[t]$ , call it  $i'$ , and then set  $w_{i',j}^k[t] = 1$  and  $w_{i,j}^k[t] = 0$  for all  $i \neq i'$ .

**User-BS assignment**:  $\mathcal{X}_3$ . Complexity to solve for  $p_{i,j}^k[t]$  and  $w_{i,j}^k[t]$  polynomial. Main burden  $w_{i,j}[t]$ , which is MIP with  $IJ$  binary and  $IJK$  real variables. Optimal vs. approximate.

## II. SLOW ASSOCIATION

**User-BS** association fixed during  $T$  slots

$$\max_{\mathcal{X}'} \sum_{t=1}^T \sum_{i,j,k} \mathbb{E} [w_{i,j}^k[t] \varphi_{i,j}^k[t]] \quad (2a)$$

$$\text{s. to: (1b) with } \sum_j w_{i,j} \leq 1, w_{i,j}^k[t] \leq w_{i,j} \quad (2b)$$

Not separable across  $t$  (only if  $w_{i,j}$  given)  $\Rightarrow$  MIP solvers:  $IJ$  binary and  $IJKT$  real variables.

## SOLVING II

Approach: Benders' decomposition [4].

Key idea: Master problem (difficult variables) and sub-problem (difficult ones given).

**Master problem:**

$$\{w_{i,j}^{(l)}\} = \arg \max_{\{z, w_{i,j}\}} z \quad (3a)$$

$$\text{s. to: } \sum_i w_{i,j} \leq 1, w_{i,j} \in \{0, 1\} \quad (3b)$$

$$z \leq \sum_{t,j,k} [\beta_{t,j,k}^{(l')} + \sum_i \Omega_{t,i,j,k}^{(l')} w_{i,j}], l' < l$$

$$\beta_{t,j,k}^{(l)}, \Omega_{t,i,j,k}^{(l)} \quad \text{If } w_{i,j}^{(l-1)} = w_{i,j}^{(l)}, \text{ stop.}$$

**Subproblem:**

Solve (1) w.r.t.  $\{p_{i,j}^{k*}[t], w_{i,j}^{k*}[t]\}$  with  $w_{i,j} = w_{i,j}^{(l)}$  given. Store the multipliers  $\beta_{t,j,k}^{(l)}$  and  $\Omega_{t,i,j,k}^{(l)}$ .

$$\beta_{t,j,k}^{(l')} \text{ and } \Omega_{t,i,j,k}^{(l')} \Rightarrow \sum_i w_{i,j}^k[t] \leq 1 \quad w_{i,j}^k[t] \leq w_{i,j}^{(l)}$$

## III. PENALIZED REAL-TIME

Augment (1a) with  $-\sum_{i,j} \lambda_{i,j}[t] s_{i,j}[t]$

- $\lambda_{i,j}[t] \Rightarrow$  cost of updating  $w_{i,j}[t]$  (handover)
- $s_{i,j}[t] \in \{0, 1\} \Rightarrow$  one if  $w_{i,j}[t] \neq w_{i,j}[t-1]$

Approach for  $s_{i,j}^*[t] \Rightarrow$  same than that for  $w_{i,j}^*[t]$

Approach for  $\lambda_{i,j}[t] \Rightarrow \lambda_{i,j}[t+1] = \lambda_{i,j}[t] + \mu(s_{i,j}[t] - \eta)$

## EXTENSIONS

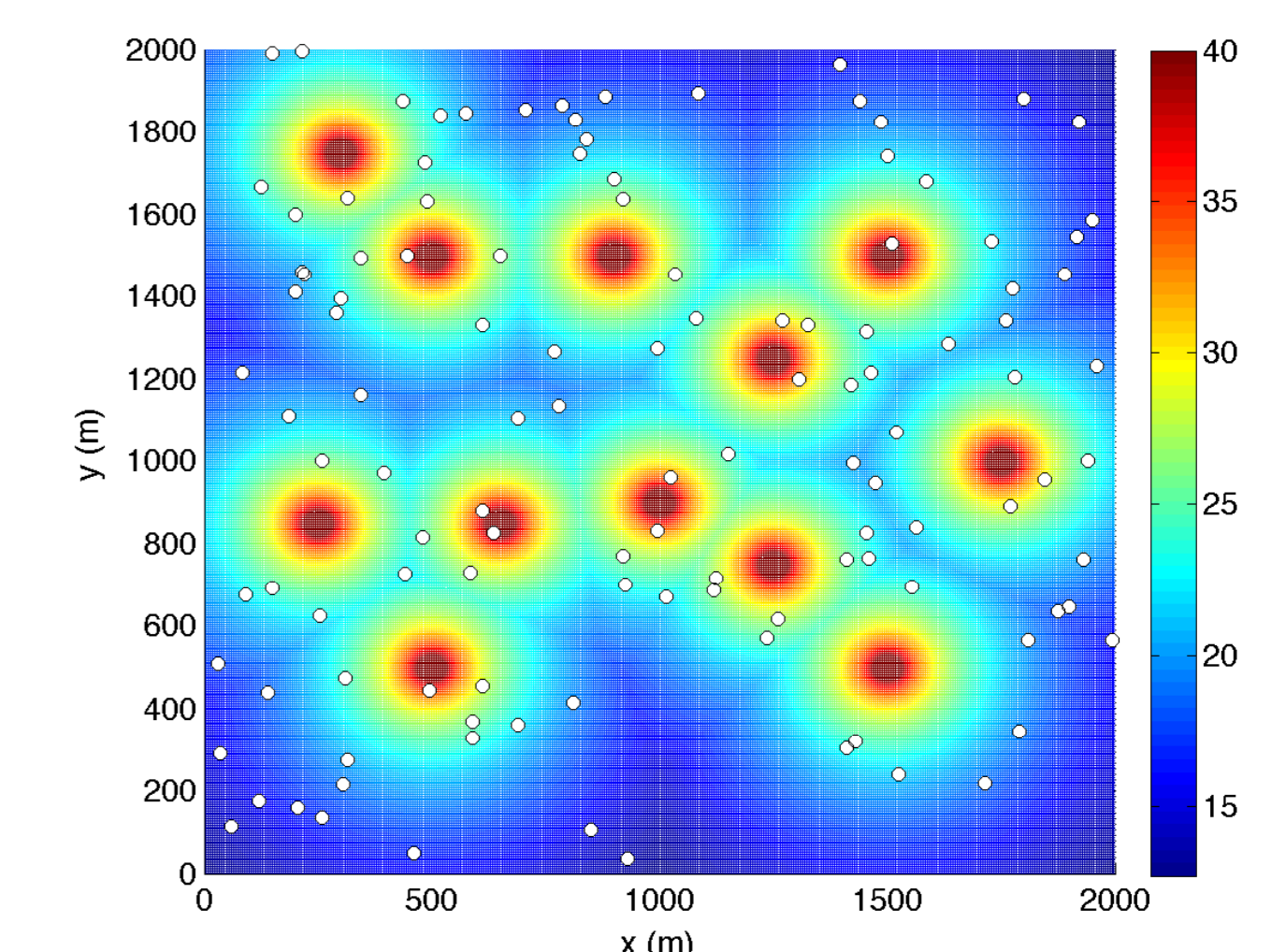
- Stochastic and accelerated Benders
- Soft interference: interference cost  $\theta_{j'}^k[t]$   
 $\tilde{\varphi}_{i,j}^k[t] = \varphi_{i,j}^k[t] - \sum_{j' \neq j} \theta_{j'}^k[t] h_{i,j'}^k[t] p_{i,j}^k[t]$

\* True also for multiple antennas.

## RESULTS

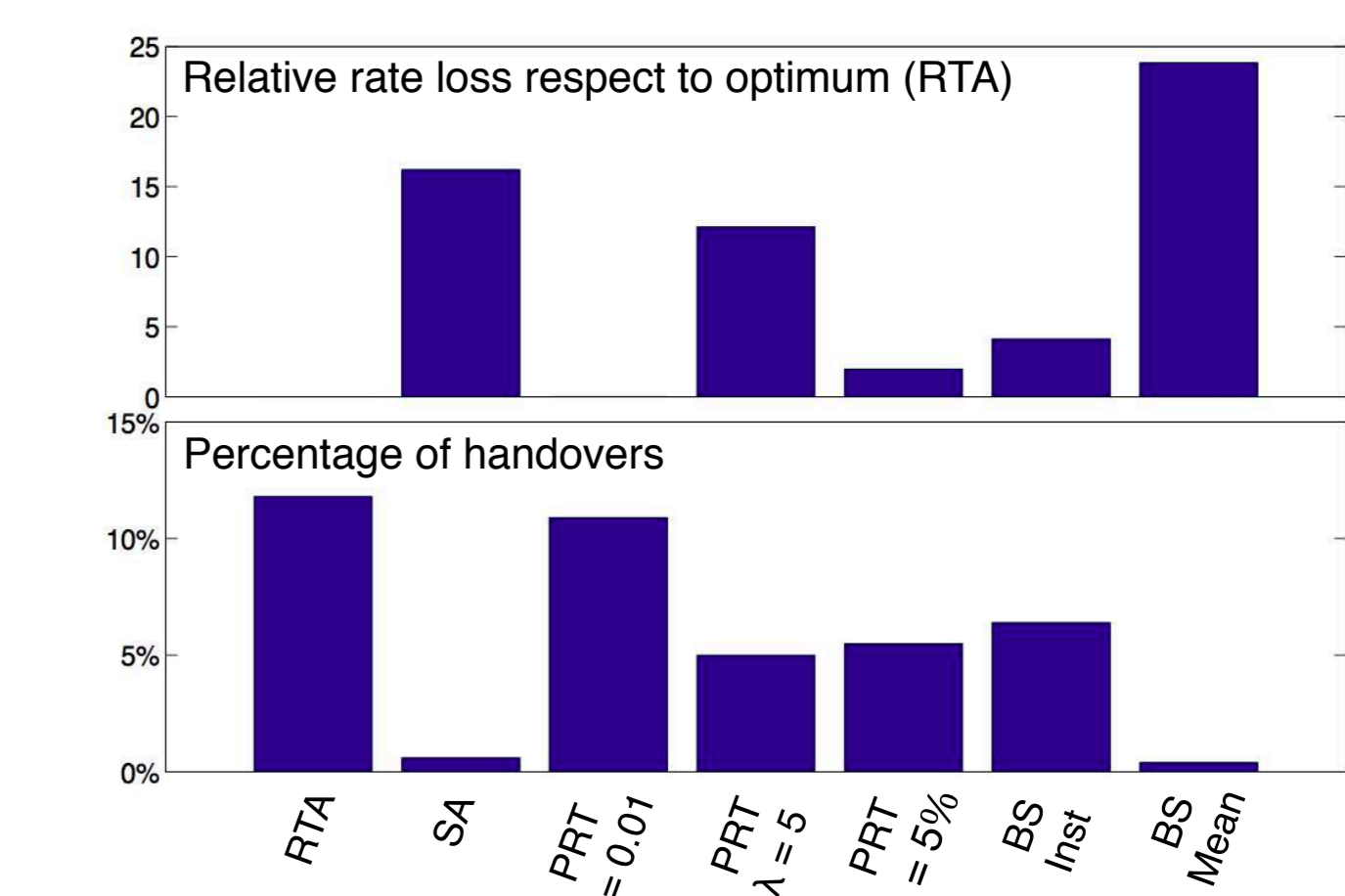
**System setup:**

- 120 randomly deployed users, 12 BSs, 32 i.i.d chans.
- Channel: free-space + block fading Rayleigh.
- Planning horizon:  $T = 100$  (30 horizons).
- User velocity: 1 tile per scheduling period.
- Random prices:  $\rho_i \in \{0.1, 0.2\}$ ,  $\pi_j = 0.6$  for 4 macro stations, and  $\pi_j = 2.4$  for 8 pico stations.



System setup. Color represents mean SNR. White dots represent user locations.

**Benchmarking:** Real time association (RTA), slow association (SA), penalized real time (PRT) with  $\lambda = 0.01$ ,  $\lambda = 5$  and  $\eta = 5\%$ , best server based on instantaneous SNR (BS Inst), best server based on mean SNR (BS Mean).



Results: relative loss respect to optimum (upper plot) and percentage of handovers (lower plot) for the seven considered algorithms.

## REFERENCES

- [1] P. Demestichas, A. Georgakopoulos, D. Karvounas, K. Tsagkaris, V. Stavroulaki, J. Lu, C. Xiong, and J. Yao, "5G on the horizon: Key challenges for the radio-access network," *IEEE Veh. Technology Mag.*, vol. 8, no. 3, pp. 47–53, Sep. 2013.
- [2] D. Lopez-Perez, I. Guvenc, G. de la Roche, M. Kountouris, T. Quek, and J. Zhang, "Enhanced intercell interference coordination challenges in heterogeneous networks," *IEEE Wireless Commun.*, vol. 18, no. 3, pp. 22–30, June 2011.
- [3] H. Kim, G. de Veciana, X. Yang, and M. Venkatasubramanian, "Distributed  $\alpha$ -optimal user association and cell load balancing in wireless networks," *IEEE/ACM Trans. Networking*, vol. 20, no. 1, pp. 177–190, Feb. 2012.
- [4] J. Benders, "Partitioning procedures for solving mixed-variables programming problems," *Numerische Mathematik*, vol. 4, no. 1, pp. 238–252, 1962.